



#### **BiermannPartners AG**

Our core competence lies in the worldwide identification, direct address and evaluation of executives and specialists in banking. Thanks to the very close contact to executives and strategic decision-makers, we generate unknown possibilities and ideas consecutively. During the selection process of the best associates possible, we set ourselves apart from our contestants with new ways and define ourselves through confidence, reliability, teamwork and flexibility. We are executive search consultants – but we even more see ourselves as “information managers”.

#### **Senior Compliance Officer**

#### **Company**

For our client, a global leading investment Bank we are looking for a Senior Compliance Officer to be based in Zurich, Switzerland. The team covers business units engaging in the full range of products, including activities in Markets, IBD (e.g. M&A, ECM, DCM, Financing, etc) and Private Equity. The Compliance officer will report to the Head of Compliance Central Europe.

#### **Tasks**

- Corporate compliance:
  - Adaptation and implementation of global policies in the Swiss offices, such as anti-money laundering, KYC, research, conflicts of interest, personal account dealing, relationship with local regulators and management of local licences or authorisations
  - Explain compliance concerns and hold to their view when dealing with senior front office staff
  - Up-to-date knowledge of local laws and regulation and a service provider approach to front office staff located in those offices
- Transaction compliance:
  - Review of proposed transactions with investors located in or otherwise related to Switzerland
  - Transaction compliance coverage demands a thorough understanding of and experience with a broad range of investment banking products and procedures as well as a deep knowledge of the Swiss market coupled with excellent communication and presentation skills.



**Profile**

- Degree, preferably in law, economics or management
- At least five years of relevant experience either in the legal and / or compliance function of a Swiss financial institution or the Swiss franchise of an international bank or at a major law firm, if combined with banking background and / or stages in relevant business or support areas of an Swiss / international bank
- Excellent knowledge of the compliance and market environment in Switzerland, including contacts in FINMA, the Swiss (and Liechtenstein) Compliance community and external advisors
- Fluent in English and German, ideally also in French and Italian
- Good writing and good presentation skills in the aforementioned languages
- Good analytical skills and thoroughness
- Good time management skills and multi-tasking capacities
- Very good interpersonal skills, including communication capability and good self-confidence as needed in order to handle and challenge front-office staff
- Hands-on approach and service-oriented mentality, initiative and autonomy

**Contact**

If this job description suits you, we are looking forward to get to know you personally. You can send your application dossier to : [contact@biermann-partners.ch](mailto:contact@biermann-partners.ch). For any further questions, please do not hesitate contacting us on +41 44 213 62 20.